

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX I.1. AID APPLICATION FORM**  
**APPLICANT DETAILS**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. legal representative of	.....	, ID document no. , Tax ID no.	..... .....
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**PLEASE PROVIDE THE FOLLOWING INFORMATION**

Name of project to be conducted:	.....	(excluding VAT)
Amount (in euros):	.....	

**Business name of the applicant** (all fields are required):

Address:	.....	Province:	.....
Municipality:	.....	Postcode:	.....
E-mail:	.....	Telephone:	.....
Account number:	.....	Mobile:	.....

Details for **sending documentation** (if different from above):

Company:	.....	E-mail:	.....
Name and surname(s):	.....	Province:	.....
Address:	.....	Postcode:	.....
Municipality:	.....		

Details of **contact person**:

Name and surname(s):	.....	Telephone:	.....
E-mail:	.....	Mobile:	.....

Details of the **location of the activity**:

Address:	.....	Province:	.....
Municipality:	.....	Postcode:	.....

Place and date: .....

Name and surname(s): .....

Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX I-2. AID APPLICATION  
ADMINISTRATIVE AND TECHNICAL PROJECT DOCUMENTATION**

Please use CAPITAL LETTERS in all cases

A - MARE – 2020

I, Mr./Ms.	.....	, ID document no.	.....
legal representative of	.....	, Tax ID no.	.....

**PLEASE PROVIDE THE FOLLOWING INFORMATION**

**Administrative documentation:**

• Copy of identification document of signatory (legal representative).	
• Copy of Tax I.D. No.	
• Copy of the deed of proxy or document attesting to powers of representation of the applicant.	
• Copy of the deeds or articles of association.	
• Bank certificate accrediting current account number and that the beneficiary is the account holder.	
• Proof of being up-to-date with all payments to Regional Treasuries.	
• Certified proof of being up-to-date with all Social Security contribution payments.	
• <b>Appendix II.</b> Statement of regulatory compliance of applicant, at date of application.	
• <b>Appendix III.</b> Statement on aid applied for and/or awarded, at date of application.	
• <b>Appendix IV.</b> Statement of consideration as an enterprise (R 651/2014).	
• In the case of a collaborative proposal, the proposal will also include the commitments (activities and detailed budgets) undertaken by each collaborating entity, confidentiality agreements, ownership of results, legal protection of industrial and intellectual copyright (patents), future commercial use of the results, public dissemination of the results, etc.	

**Technical documentation:**

• <b>Appendix V.</b> Technical Data: Project Description.	
• <b>Documentation providing evidence of compliance with the incentive effect</b> , as required under article 6.2 of Regulation 651/2014 (only in the case of large enterprises).	
• <b>Descriptive report:</b> a description of the experimental development from its initial stages through to that of the prototype to be subject to testing, including a description of the prototype itself. The report will also detail the end objective of the testing, the method, the resources required and how to access them, maintenance during testing, operations required for installation and removal, etc.	
• <b>Test programme:</b> scheduling (by annual phases) of the test programme, from preparation of location where testing will be conducted to, if required, removal of the elements used for testing.	
• <b>Risk analysis:</b> availability of a contingency plan aligned to the risk analysis study conducted for the test programme.	
• <b>A breakdown of the total budget by concepts, and by entities in the case of a collaborative proposal</b> , and of annual budgets (calendar year) by concepts (see Appendix X, Budget Model).	
• <b>Appendix X.</b> Budget Model.	
• <b>A letter of acceptance</b> or of endorsement signed by an authorised representative of the testing infrastructure where the demonstration programme is going to take place.	

Place and date: \_\_\_\_\_  
 Name and surname(s): \_\_\_\_\_  
 Signed \_\_\_\_\_

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX II. STATEMENT OF REGULATORY COMPLIANCE AT  
DATE OF APPLICATION**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. _____, ID document no. _____
legal representative of _____, Tax ID no. _____

**HEREBY DECLARE**

1. That the information provided and documentation submitted in this aid application is true and accurate, and accept responsibility for any consequences that may arise from the falseness or inaccuracy of the information or documents submitted, without resource to ignorance of the facts underlying such falseness or inaccuracy.
2. That, in accordance with Article 31.3 of the General Subsidies Act, when the amount of eligible costs has exceeded, or is going to exceed, the amounts established under Law 30/2007, of 30 October, on Contracts awarded by the Public Sector for minor contracts, at least three offers from different service providers have been, or are going to be, requested, and that the selection process has been conducted, or is going to be conducted, with due regard to principles of efficiency and economy. In case of non-delivery of the conditions laid out in the aforementioned Article, relevant supporting documentation shall have been, or is going to be, provided.
3. That, in accordance with the provisions of Section 2 - Beneficiaries - of the terms and conditions of the Aid Programme:
  - a) The applicant meets the requirements laid out in Article 13 (2&3) of Law 38/2003, of 17 November, on General Subsidies, to be granted the status of beneficiary and is not affected by any of the circumstances provided for in the aforementioned Article which may deprive him/her of the status of aid beneficiary.
  - b) The applicant meets the requirements laid out in Article 50 (5) of the Legislative Decree 1/1997 of 11 November, approving the Revised Text of the Law of Governing Principles of the General Treasury of the Basque Country, to be granted the status of beneficiary, and is not affected by any of the circumstances provided for in the aforementioned Article which may deprive him/her of the status of aid beneficiary.
  - c) The applicant has no outstanding repayment obligations on sums corresponding to aid or subsidies of the same nature.
  - d) In the case of an enterprise, all aid awarded under Regulation 651/2014 and, as provided for in Paragraphs 4.a) and 4.c) of Article 1 of the aforementioned Regulation, that the entity I represent:
    - is not subject to an outstanding recovery order following a previous Commission decision declaring an aid illegal and incompatible with the internal market; and
    - is not in difficulty, as defined in Community Guidelines on state aid for rescuing and restructuring firms in difficulty.
4. That, in the case of an enterprise, and in compliance with Law 14/2007, of 28 December, in relation to the Basque Country Charter for Justice and Solidarity with Impoverished Countries, the corporate purpose of the applicant is, neither wholly nor partially, the production, commercialisation or funding of arms.

Place and date: \_\_\_\_\_  
 Name and surname(s): \_\_\_\_\_  
 Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX III. STATEMENT ON OTHER AID AT DATE OF APPLICATION  
STATEMENT ON AID APPLIED FOR AND/OR AWARDED**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. ....	, ID document no. ....
legal representative of .....	, Tax ID no. ....

**HEREBY DECLARE**

I. That I have read and understand the provisions of the terms of this Aid Programme, and specifically, the provisions set out in relation to the possibility of concurrence with other aid awarded by other public institutions or by private bodies, for the same purpose as the aid on offer in the Aid Programme.

II. That I have submitted the following applications for aid to other public institutions or private bodies for the same project for which I am submitting this application:

Public Institution or Private Body	Subjct of Aid	Date of application

That I have NOT submitted any application for aid to any other public institutions or private bodies for the same project for which I am submitting this application.

III. That I have received the decision to award aid from other public institutions or private bodies for the same project for which I am submitting this application, and attach a copy of this document.

Public Institution or Private Body	Subjct of Aid	Amount applied for	Date of decisión	Amount awarded

That I have NOT received the decision to award aid from other public institutions or private bodies for the same project for which I am submitting this application.

Place and date: \_\_\_\_\_  
Name and surname(s): \_\_\_\_\_  
Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX IV. STATEMENT OF CONSIDERATION  
AS AN ENTERPRISE (R. 651/2014)**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. _____	, ID document no. _____	
legal representative of _____	, Tax ID no. _____	

As provided for under Commission Regulation (EU) 651/2014 of 26 June 2014, declaring certain categories of aid compatible with the internal market, and in application of Articles 107 and 108 of the Treaty (General Block Exemption Regulation) and the definition of "enterprise" as laid out in Article 1 of Annex 1 of the aforementioned Regulation as set out below:

*An enterprise is considered to be any entity, irrespective of its legal form, engaged in an economic activity. This includes, in particular, self-employed persons and families engaged in craft or other activities, and partnerships or associations regularly engaged in an economic activity.*

**HEREBY DECLARE**

**I.** That, under Article 3 of Annex I of Regulation 651/2014, the legal person I represent is considered as a(n):

Autonomous enterprise	
Linked enterprise	
Partner enterprise	

**II.** That, under Article 2 of Annex I of Regulation 651/2014, the legal person I represent is considered as a(n):

Small enterprise	
Medium-size enterprise	
Large enterprise	

*The category of micro, small and medium-sized enterprises (SMEs) is made up of enterprises which employ fewer than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet not exceeding EUR 43 million. Within the SME category, a small enterprise is defined as an enterprise which employs fewer than 50 persons and whose annual turnover and/or balance sheet total does not exceed EUR 10 million.*

**III.** That, under Article 6 of Chapter 1 of Regulation 651/2014, in the case of large enterprises, aid will be considered to have an incentive effect if:

Place and date: \_\_\_\_\_  
 Name and surname(s): \_\_\_\_\_  
 Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX V. TECHNICAL DATA**  
**PROJECT DESCRIPTION**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. _____	, ID document no. _____
legal representative of _____	, Tax ID no. _____

**HEREBY PROVIDE THE FOLLOWING INFORMATION**

Name of project: \_\_\_\_\_

Amount of investment (in euros): \_\_\_\_\_ (excluding VAT)

**DESCRIPTION OF THE SITE WHERE THE ACTION IS GOING TO TAKE PLACE AND THE SCOPE OF THE ACTION:**

Place and date: \_\_\_\_\_  
Name and surname(s): \_\_\_\_\_  
Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX VI. NOTIFICATION OF COMPLETION OF PHASE OR PROJECT**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. _____, ID document no. _____	
legal representative of _____, Tax ID no. _____	
I hereby notify EVE of the completion of the EVE reference phase or project _____	

**AND SUBMIT THE FOLLOWING MANDATORY DOCUMENTATION**

Administrative documentation:

• <b>Appendix VII.</b> Statement of regulatory compliance at date of submission of supporting documentation	
• <b>Appendix VIII.</b> Statement on other aid at date of submission of supporting documentation	
• Certified proof of being up-to-date with all payments to Regional Treasuries.	
• Certified proof of being up-to-date with all Social Security contribution payments	
• In the case of enterprises having the status of small or medium-sized companies, as set out under Article 2 of Annex I to Regulation 651/2014, such enterprises shall provide documentation enabling this status to be verified by means of:	
○ A certificate issued by the Social Security containing information on the number of employees of the company.	
○ Document accrediting the annual turnover or annual balance sheet.	

Documentación técnica:

• A detailed invoice or invoices (original, duplicate original or certified copy) providing evidence of the investment made and dated within the period between the day immediately after the date of application for aid under this programme and 31 December 2020. For the financial years 2021 and 2022, invoices will be dated within the period between 1 January of the corresponding financial year and 31 December of that same financial year. All invoices shall be issued to the entity qualifying as the beneficiary, as understood in sub-section 2.1 of these terms and conditions.	
• Documents providing proof of payment of invoices, identifying the final recipient of the aid, the third party receiving the payment, the date of payment (value date) and the data necessary to identify the project eligible for aid.	
• The following additional documentation should also be submitted, depending on the activity or phase to be justified as laid out in sub-section 10.1.	

I therefore request payment of the aid awarded for the above-mentioned project.

Place and date: \_\_\_\_\_  
Name and surname(s): \_\_\_\_\_  
Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX VII. STATEMENT OF REGULATORY COMPLIANCE BY THE APPLICANT AT DATE OF SUBMISSION OF SUPPORTING DOCUMENTATION**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms.	.....	, ID document no.	.....
legal representative of	.....	, Tax ID no.	.....

**HEREBY DECLARE**

1. That the information provided and documentation submitted in this aid application continue to be true and accurate, and accept responsibility for any consequences that may arise from the falseness or inaccuracy of the information or documents submitted, without resource to ignorance of the facts underlying such falseness or inaccuracy.
2. That, in accordance with Article 31.3 of the GSA, when the amount of eligible costs exceeds the amounts established under public procurement law for minor contracts, the beneficiary will ask for at least three offers from different service providers prior to entering into any contractual obligation for the works, service provision or delivery of the good, except in those cases where, on account of the special nature of the works or goods, there is an insufficient number of entities in the market capable of carrying out or providing those services or goods or unless the costs had been incurred prior to the subsidy (except, in this last case, for cases of undertakings subject to Regulation 651/2014).
3. That, in accordance with Articles 27 and 28 of Law 39/2015, of 1 October, governing Public Administration and Common Administrative Procedures, true copies of the invoice(s) supporting the investment made have been attached to the submission. Furthermore, in compliance with the provisions of Articles 31 and 83 of Law 38/2003, of 17 November, on General Subsidies, payment of the aforementioned invoice(s) supporting the investment has been made.
4. That, in accordance with the provisions of Section 2 - Beneficiaries - of the terms and conditions of the Aid Programme:
  - a) The applicant meets the requirements laid out in Article 13 (2&3) of Law 38/2003, of 17 November, on General Subsidies, to be granted the status of beneficiary and is not affected by any of the circumstances provided for in the aforementioned Article which may deprive him/her of the status of aid beneficiary..
  - b) The applicant meets the requirements laid out in Article 50 (5) of the Legislative Decree 1/1997 of 11 November, approving the Revised Text of the Law of Governing Principles of the General Treasury of the Basque Country, to be granted the status of beneficiary, and is not affected by any of the circumstances provided for in the aforementioned Article which may deprive him/her of the status of aid beneficiary.
  - c) The applicant has no outstanding repayment obligations on sums corresponding to aid or subsidies of the same nature.
  - d) In the case of an enterprise, all aid awarded under Regulation 651/2014 and, as provided for in Paragraphs 4.a) and 4.c) of Article 1 of the aforementioned Regulation, that the entity I represent:
    - is not subject to an outstanding recovery order following a previous Commission decision declaring an aid illegal and incompatible with the internal market; and
    - is not in difficulty, as defined in Community Guidelines on state aid for rescuing and restructuring firms in difficulty
5. That, in the case of an enterprise, and in compliance with Law 14/2007, of 28 December, in relation to the Basque Country Charter for Justice and Solidarity with Impoverished Countries, the corporate purpose of the applicant is, neither wholly nor partially, the production, commercialisation or funding of arms.

Place and date: .....

Name and surname(s): .....

Signed



**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX VIII. OTHER AID AT DATE OF SUBMISSION OF SUPPORTING DOCUMENTATION**  
**STATEMENT ON OTHER AID APPLIED FOR AND/OR AWARDED**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms.	.....	, ID document no.	.....
legal representative of	.....	, Tax ID no.	.....

**HEREBY DECLARE**

I. That I have read and understand the provisions of the terms of this Aid Programme, and specifically, the provisions set out in relation to the possibility of concurrence with other aid awarded by other public institutions or by private bodies, for the same purpose as the aid on offer in the Aid Programme.

II. That I have submitted the following applications for aid to other public institutions or private bodies for **the same project** for which I am submitting this application:

Public Institution or Private Body	Subject of aid	Date of application

That I have **NOT** submitted any application for aid to any other public institutions or private bodies for the same project for which I am submitting this application.

III. That I have received the decision to award aid from other public institutions or private bodies for the same project for which I am submitting this application, and attach a copy of this document.

Public Institution or Private Body	Subject of aid	Amount applied for	Date of decision	Amount awarded

That I have NOT received the decision to award aid from other public institutions or private bodies for the same project for which I am submitting this application.

Place and date: .....

Name and surname(s): .....

Signed

**2020 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES**

**APPENDIX IX. MODEL OF GUARANTEE**

Please use **CAPITAL LETTERS** in all cases

**A - MARE – 2020**

I, Mr./Ms. _____, ID document no. _____
legal representative of _____, Tax ID no. _____

**HEREBY PROVIDE THE FOLLOWING INFORMATION**

Name of project: \_\_\_\_\_  
Amount of investment (in euros): \_\_\_\_\_ (excluding VAT)

The Bank \_\_\_\_\_, (hereinafter, the “Bank”) and for and on its behalf Mr/Ms \*\_\_\_\_\_, duly authorised to execute this act through the powers granted to him/her on \*\*\_\_\_\_\_ before the Notary Public of \_\_\_\_\_ Mr/Ms. \*\_\_\_\_\_, under the number \_\_\_\_\_ of his/her official records and assuring that those powers have been neither revoked nor varied,

HEREBY STAND GUARANTEE on first demand and severally for the company \_\_\_\_\_ (hereinafter, the “Company”) with tax identification number \_\_\_\_\_ and registered at \_\_\_\_\_ in front of ENTE VASCO DE LA ENERGÍA [...] (hereinafter, the “Beneficiary” or “EVE”) with tax identification number \_\_\_\_\_ and registered at \_\_\_\_\_, pursuant to Article 21 of General Subsidies Law 38/2003 of 17 November and Article 3 of the Decree 698/1991, of 17 December, governing the general scheme of guarantees and reimbursement of subsidies financed by the General Budget in response to the obligation to repay the advance awarded through the decision of \*\*\_\_\_\_\_ of the General Management of EVE to the Company amounting to \*\*\*\_\_\_\_\_ euros (\*\*\*\*\_\_\_\_\_ ) within the framework of the 2017 AID PROGRAMME FOR INVESTMENT IN THE DEMONSTRATION AND VALIDATION OF EMERGING MARINE RENEWABLE ENERGY TECHNOLOGIES, under file number \_\_\_\_\_ .

The following conditions apply:

- (i) The Bank expressly waives the rights and benefits of order, excussio and division and any type of defence.
- (ii) This guarantee is extended to the advance awarded and amounting to \*\*\*\_\_\_\_\_ euros (\*\*\*\*\_\_\_\_\_ ), to the interest accrued over a period not exceeding one year and up to 15% of the principal of the advance to cover costs and expenses of the eventual court or out-of-court claim (hereinafter, the “Guaranteed Amount”).
- (iii) This guarantee is of an abstract nature, thereby making it unnecessary for the Beneficiary to indicate or justify the reasons for calling the guarantee, and the Bank will have no right to raise objections to any requirement for payment against the guarantee, despite any objections that may be put forward by the Company.
- (iv) The Bank will pay the amount upon request by the Beneficiary within a maximum of five (5) working days from the date of notification given to it in writing and to the following address: \_\_\_\_\_ .
- (v) The Bank will effect all payments required of it by the Beneficiary, once or in multiple instalments, as informed by the Beneficiary, provided the total amount does not exceed the Guaranteed Amount.
- (vi) Payments shall be made to the bank account as shown in the notification of the Beneficiary, free from and with no deductions for or on account of any tax, duties, charges, compensation, counter-claims, deductions or retentions, present or future, of any nature.
- (vii) This guarantee will be valid indefinitely until the Beneficiary authorises its cancellation in writing.
- (viii) This Guarantee shall be governed by Spanish Law. Any disputes arising under this guarantee shall be subject to the exclusive jurisdiction of the Courts of Bilbao, to the jurisdiction of which the parties submit, expressly relinquishing any other jurisdiction that may be applicable.

This guarantee has been entered in the Special Register of Guarantees on \*\*\_\_\_\_\_ under number \_\_\_\_\_ .

(Place and date)  
(Registered address of entity)  
(Signature of representative(s))  
  
(\* insert full name and surname)  
(\*\* insert full date)  
(\*\*\* insert amount in words)  
(\*\*\*\* insert amount in numbers)

Place and date: \_\_\_\_\_  
Name and surname(s): \_\_\_\_\_  
Signed

